



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/791,035	03/02/2004	Karthik Jaganathan	MSFT-2925/306566.01	1256
41505 7590 07/17/2007 WOODCOCK WASHBURN LLP (MICROSOFT CORPORATION) CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891				
			EXAMINER PERUNGAVOOR, VENKATANARAY	
			ART UNIT 2132	PAPER NUMBER
			MAIL DATE 07/17/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/791,035	Applicant(s) JAGANATHAN ET AL.	
	Examiner Venkat Perungavoor	Art Unit 2132	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 March 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>3/2/04</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 101

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claim 14, 20 relates to non-statutory subject matter. In particular the data signal is a non-statutory subject matter.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-2, 7-9, 14, 24-26 are rejected under 35 U.S.C. 102(b) as being anticipated by RFC 3244-Microsoft Windows 2000 Kerberos Change Password and Set Password Protocols by Swift et al.(hereinafter Swift).
5. Regarding Claim 1, Swift discloses the receiving a encryption algorithm request wherein the request specifies an encryption algorithm for subsequent communications between client and server see Page 2 “Request Message” protocol version number; sending a subsession key to the client, wherein the subsession key may be used in conjunction with encryption algorithm to encrypt future messages see Page 3 “ Reply Message” AP-REP data.

Art Unit: 2132

6. Regarding Claim 2, Swift discloses the sending and receiving as part of a authentication protocol see Page 3 Par. 1.
7. Regarding Claim 7, Swift discloses the deriving of algorithm from key see Page 3 Par. 2 “The newpasswd ...”.
8. Regarding Claim 8, 14, Swift disclose sending an encryption algorithm request to server indicating that a client computer supports a specified encryption algorithms see Page 4 “KRB5_KPASSWD_BAD_VERSION”; anticipating a subsession key with encryption algorithms see Page 2 “Request Message”; switching to specified algorithm if the subsession key is delivered see Page 3 “AP-REP data” & Page 2 “AP-REQ data”.
9. Regarding Claim 9, Swift discloses the authenticating a server computer see Page 2 “KRB_PRIV message”.
10. Regarding Claim 24, Swift discloses the receiving a encryption algorithm request wherein the request specifies an encryption algorithm for subsequent communications between client and server see Page 2 “Request Message” protocol version number and sending and receiving as part of a authentication protocol see Page 3 Par. 1; the switching to specified algorithm if the subsession key is delivered see Page 3 “AP-REP data” & Page 2 “AP-REQ data.”

Art Unit: 2132

11. Regarding Claim 25-26, Swift discloses the encrypting of AP-REQ using an algorithm see Page 3 Par. 1.

Claim Rejections - 35 USC § 103

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 3-6,10-13, 15-23, 27-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over RFC 3244-Microsoft Windows 2000 Kerberos Change Password and Set Password Protocols by Swift et al.(hereinafter Swift) in view of rpcsec_gss, kadmin service principal, etc by Coffman, Kevin.
14. Regarding Claim 3, 10, 23, 27, Swift does not disclose the gss interface for authentication protocol. However, Coffman discloses the gss interface for authentication protocol see Page 1 Par. 3 "The kpasswd...". It would be obvious to one having ordinary skill in the art at the time of the invention to include the GSSAPI in the invention of Swift in order to include the protocol in a package or suite akin to RPC.
15. Regarding Claim 4, 11, Swift discloses the AP-REQ see Page 2- The Protocol Par. 1.
16. Regarding Claim 5-6, 12-13, 22, Swift discloses the encrypting of AP-REQ using an algorithm see Page 3 Par. 1.

17. Regarding Claim 15, 20, Swift discloses the receiving a encryption algorithm request wherein the request specifies an encryption algorithm for subsequent communications between client and server see Page 2 “Request Message” protocol version number; the authenticating a server computer see Page 2 “KRB_PRIV message”; client computer supports a specified encryption algorithms see Page 4 “KRB5_KPASSWD_BAD_VERSION”. Swift does not disclose the gss interface for authentication protocol. However, Coffman discloses the gss interface for authentication protocol see Page 1 Par. 3 “The kpasswd...”. It would be obvious to one having ordinary skill in the art at the time of the invention to include the GSSAPI in the invention of Swift in order to include the protocol in a package or suite akin to RPC.
18. Regarding Claim 16-17, 19, Swift discloses the deriving of algorithm from key see Page 3 Par. 2 “The newpasswd ...”.
19. Regarding Claim 18, Swift discloses the switching to specified algorithm if the subsession key is delivered see Page 3 “AP-REP data” & Page 2 “AP-REQ data”.
20. Regarding Claim 21, Swift discloses the sending and receiving as part of a authentication protocol see Page 3 Par. 1.
21. Regarding Claim 28, Swift discloses the flag in a checksum see Page 2 KRB-PRIV.

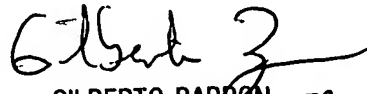
Art Unit: 2132

Conclusion

22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Venkat Perungavoor whose telephone number is 571-272-7213. The examiner can normally be reached on 8:30-5:00. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

23. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/VP/
Venkat Perungavoor
Examiner
Art Unit 2132
July 9, 2007


GILBERTO BARRON JR.
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100